

12-19-01

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1132 U.S. PTO  
10/20/01

EXPRESS MAIL CERTIFICATE

Date 10/30/01 Label No. 8767726195US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail, Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

10978 U.S. PTO  
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07278

PATENT TRADEMARK OFFICE

Docket No: 1508/1H817-US1

**DARBY & DARBY P.C.**

805 Third Avenue  
New York, New York 10022  
212-527-7700

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Gregory COLLINS; James SUMMERTON;  
Edward SPENCE

Title: **DUAL-STAGE FILTRATION CARTRIDGE**

including the items indicated:

1. Specification and 37 claims: 7 indep.; 30 dep.;    multiple dep.;  
including 30 page(s) of written description; 15 page(s) of claims;  
1 page(s) of abstract.
2. ☒ Drawings, 4 sheets (Figs. 1-6)
3. ☒ Executed Declaration/Power of Attorney  
☐ Unexecuted Declaration/Power of Attorney
4. ☐ Application Data Sheet under 37 C.F.R. §1.76.

5. ☒ Assignment for recording to: NEPHROS, INC.

☐ Assignment was recorded at Reel No. , Frame No. , on .

☒ Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: NEPHROS, INC.

6. ☒ The applicant claims small entity status.

7. ☐ Priority is claimed under 35 U.S.C. §119(b) of:

Country:

Number:

Date:

A certified copy of the prior document ☐ is enclosed ☐ will follow  
☐ was filed in a previous application.

8. ☒ Priority is claimed under 35 U.S.C. §119(e) of:

Number: 60/244,317

Date: October 30, 2000

Number: 60/259,889

Date: January 2, 2001

9. ☐ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.

10. ☒ Payment in amount of \$731.00, (\$691 filing; \$40 recording)  
in the form of  
☒ check  
☐ deposit account no. 04-0100  
☐ credit card (see attached form)

Serial No. TO BE ASSIGNED

Docket No. 1508/1H817-US1

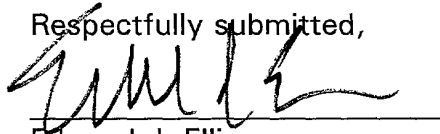
(See attached **Fee Computation Sheet**)

- 11. ☐ Preliminary Amendment
- 12. ☐ Information Disclosure Statement
- 13. ☐ Nucleotide or Amino Acid Sequence Listing.

☐ Hard Copy      ☐ CRF

Date: October 30, 2001

Respectfully submitted,



Edward J. Ellis

Reg. No. 40,389

Attorney for Applicant(s)

FILED OCT 31 2001

Serial No. TO BE ASSIGNED

Docket No. 1508/1H817-US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$740.00
Total Claims	37 - 20	- 0 = 17	x \$18.00	\$306.00
Independent Claims	7 - 3	- 0 = 4	x \$84.00	\$336.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL . . . . .				\$1382.00
[X] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$691.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00) . . . . .				\$0.00
TOTAL . . . . .				\$731.00